

**UNITED STATES PATENT APPLICATION**

of

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for

**FILTERING IMAGE DATA TO OBTAIN**

**SAMPLES MAPPED TO PIXEL**

**SUB-COMPONENTS OF A DISPLAY DEVICE**

**WORKMAN, NYDEGGER & SEELEY**

A PROFESSIONAL CORPORATION

ATTORNEYS AT LAW

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## **BACKGROUND OF THE INVENTION**

### **1. Related Applications**

This application claims the benefit of U.S. Provisional Patent Application Serial No. 60/115,573, entitled "Resolution and Image Enhancement for Patterned Displays," filed January 12, 1999 and U.S. Provisional Patent Application Serial No. 60/115,731, entitled "Resolution Enhancement for Patterned Displays," filed January 12, 1999, both of which are incorporated herein by reference. This application is also a continuation-in-part of U.S. Patent Application Serial No. 09/364,365, entitled "Methods, Apparatus and Data Structures for Enhancing the Resolution of Images to be Rendered on Patterned Display Devices," filed July 30, 1999, which is incorporated herein by reference.

### **2. The Field of the Invention**

The present invention relates to rendering images on display devices having pixels with separately controllable pixel sub-components. More specifically, the present invention relates to filtering and subsequent displaced sampling of image data to obtain a desired degree of luminance accuracy and color accuracy.

### **3. The Prior State of the Art**

As computers become ever more ubiquitous in modern society, computer users spend increasing amount of time viewing images on display devices. Flat panel display devices, such as liquid crystal display (LCD) devices, and cathode ray tube (CRT) display devices are two of the most common types of display devices used to render text and graphics. CRT display devices use a scanning electron beam to activate phosphors arranged on a screen. Each pixel of a CRT display device consists of a triad of phosphors, each of a

1 different color. The phosphors included in a pixel are controlled together to generate what is  
2 perceived by the user as a point or region of light having a selected color defined by a  
3 particular hue, saturation, and intensity. The phosphors in a pixel of a CRT display device  
4 are not separately controllable. CRT display devices have been widely used in combination  
5 with desktop personal computers, workstations, and in other computing environments in  
6 which portability is not an important consideration.

7 LCD display devices, in contrast, have pixels consisting of multiple separately  
8 controllable pixel sub-components. Typical LCD devices have pixels with three pixel sub-  
9 components, which usually have the colors red, green, and blue. LCD devices have become  
10 widely used in portable or laptop computers due to their size, weight, and relatively low  
11 power requirements. Over the years, however, LCD devices have begun to be more  
12 common in other computing environments, and have become more widely used with non-  
13 portable personal computers.

14 Conventional image data and image rendering processes were developed and  
15 optimized to display images on CRT display devices. The smallest unit on a CRT display  
16 device that is separately controllable is a pixel; the three phosphors included in each pixel  
17 are controlled together to generate the desired color. Conventional image processing  
18 techniques samples of image data to entire pixels, with the three phosphors together  
19 representing a single portion of the image. In other words, each pixel of a CRT display  
20 device corresponds to or represents a single region of the image data.

21 The image data and image rendering processes used with LCD devices are those that  
22 have been originally developed in view of the CRT, three-phosphor pixel model. Thus,  
23 conventional image rendering processes used with LCD devices do not take advantage of the  
24 separately controllable nature of pixel sub-components of LCD pixels, but instead generate

1 together the luminous intensity values to be applied to the three pixel sub-components in  
2 order to yield the desired color. Using these conventional processes, each three-part pixel  
3 represents a single region of the image data.

4 It has been observed that the eyestrain and other reading difficulties that have been  
5 frequently experienced by computer users diminish as the resolution of display devices and  
6 the characters displayed thereon improves. The problem of poor resolution is particularly  
7 evident in flat panel display devices, such as LCDs, which may have resolutions 72 or 96  
8 dots (i.e., pixels) per inch (dpi), which is lower than most CRT display devices. Such  
9 display resolutions are far lower than the 600 dpi resolution supported by most printers.  
10 Even higher resolutions are found in most commercially printed text such as books and  
11 magazines. The relatively few pixels in LCD devices are not enough to draw smooth  
12 character shapes, especially at common text sizes of 10, 12, and 14 point type. At such  
13 common text rendering sizes, portions of the text appear more prominent and coarse on the  
14 display device than when displayed on CRT display devices or printed.

15 In view of the foregoing problems experienced in the art, there is a need for  
16 techniques of improving the resolution of images displayed on LCD display devices. While  
17 improving resolution, it would also be desirable to accurately render the color of the images  
18 to a desired degree so as to generate displayed images that closely reproduce the image  
19 encoded in the image data.  
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## SUMMARY OF THE INVENTION

The present invention relates to image data processing and image rendering techniques whereby images are displayed on display devices having pixels with separately controllable pixel sub-components. Spatially different regions of image data are mapped to individual pixel sub-components rather than to full pixels. It has been found that mapping point samples or samples generated from a simple box filter directly to pixel sub-components results in either color errors or lowered resolution. Moreover, it has been found that there is an inherent tradeoff between improving color accuracy and improving luminance accuracy. The methods and systems of the invention use filters that have been selected to optimize or to approximate an optimization of a desired balance between color accuracy and luminance accuracy.

The invention is particularly suited for use with LCD display devices or other display devices having pixels with a plurality of pixel sub-components of different colors. For example, the LCD display device may have pixels with red, green, and blue pixel sub-components arranged on the display device to form either vertical or horizontal stripes of same-colored pixel sub-components.

The image processing methods of the invention can include a scaling operation, whereby the image data is scaled in preparation for subsequent oversampling, and a hinting operation, which can be used to adapt the details of an image to the particular pixel sub-component positions of a display device. The image data signal, which can have three channels, each representing a different color component of the image, is passed through a low-pass filter to eliminate frequencies above a cutoff frequency that has been selected to reduce color aliasing that would otherwise be experienced. Although the pixel Nyquist frequency can be used as the cutoff frequency, it has been found that a higher cutoff

1 frequency can be used. The higher cutoff frequency yields greater sharpness, at some  
2 sacrifice of color aliasing.

3 The low-pass filters are selected to optimize or to approximately optimize the  
4 tradeoff between color accuracy and luminance accuracy. The coefficients of the low-pass  
5 filters are applied to the image data. In one implementation, the low-pass filters are an  
6 optimized set of nine filters that includes one filter for each combination of color channel  
7 and pixel sub-component. In other implementations, the low-pass filters can be selected to  
8 approximate the filtering functionality of the general set of nine filters.

9 The filtered data represents samples that are mapped to individual pixel sub-  
10 components of the pixels, rather than to the entire pixels. The samples are used to select the  
11 luminous intensity values to be applied to the pixel sub-components. In this way, a bitmap  
12 representation of the image or a scanline of an image to be displayed on the display device  
13 can be assembled. The processing and filtering can be done on the fly during the  
14 rasterization and rendering of an image. Alternatively, the processing and filtering can be  
15 done for particular images, such as text characters, that are to be repeatedly included in  
16 displayed images. In this case, text characters can be prepared for display in an optimized  
17 manner and stored in a buffer or cache for later use in a document.

18 Additional features and advantages of the invention will be set forth in the  
19 description which follows, and in part will be obvious from the description, or may be  
20 learned by the practice of the invention. The features and advantages of the invention may  
21 be realized and obtained by means of the instruments and combinations particularly pointed  
22 out in the appended claims. These and other features of the present invention will become  
23 more fully apparent from the following description and appended claims, or may be learned  
24 by the practice of the invention as set forth hereinafter.

## BRIEF DESCRIPTION OF THE DRAWINGS

In order that the manner in which the above-recited and other advantages and features of the invention are obtained, a more particular description of the invention briefly described above will be rendered by reference to specific embodiments thereof which are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered to be limiting of its scope, the invention will be described and explained with additional specificity and detail through the use of the accompanying drawings in which:

Figure 1A illustrates an exemplary system that provides a suitable operating environment for the present invention;

Figure 1B illustrates a portable computer having an LCD device on which characters can be displayed according to the invention.

Figures 2A and 2B depict a portion of an LCD device and show the separately controllable pixel sub-components of the pixels of the LCD device.

Figure 3 is a high-level block diagram illustrating selected functional modules of a system that processes and filters image data in preparation for displaying an image on an LCD device.

Figure 4 illustrates an image data signal having three channels, each representing a color component of the image, and further illustrates displaced sampling of the image data.

Figures 5A-5C depict a portion of a scanline of an LCD device and how Y, U, and V can be modeled for the LCD device according to an embodiment of the invention.

Figure 6 illustrates a generalized set of nine linear filters that are applied to an image signal to map the image data to red, green, and blue pixel sub-components of pixels on an LCD device.

1 Figure 7 is a graph showing an example of filter coefficients of the generalized set of  
2 nine filters of Figure 6, which establish a desired balance between color accuracy and  
3 luminance accuracy.  
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## DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to image data processing and image rendering techniques whereby image data is rendered on patterned flat panel display devices that include pixels each having multiple separately controllable pixel sub-components of different colors. When applied to display devices, such as conventional liquid crystal display (LCD) devices, the image data processing operations include filtering a three-channel continuous signal representing the image data through filters that obtain samples that are mapped to the red, green, and blue pixel sub-components. The filters are selected to establish a desired tradeoff between color accuracy and luminance accuracy. Generally, an increase in color accuracy results in a corresponding decrease in luminance accuracy and vice versa. The samples mapped to the pixel sub-components are used to generate luminous intensity values for the pixel sub-components.

The image rendering processes are adapted for use with LCD devices or other display devices that have pixels with multiple separately controllable pixel sub-components. Although the invention is described herein primarily in reference to LCD devices, the invention can also be practiced with other display devices having pixels with multiple separately controllable pixel sub-components.

### I. Exemplary Computing Environments

Prior to describing the filtering and sampling operations of the invention in detail, exemplary computing environments in which the invention can be practiced are presented. The embodiments of the present invention may comprise a special purpose or general purpose computer including various computer hardware, as discussed in greater detail below. Embodiments within the scope of the present invention also include computer-

1 readable media for carrying or having computer-executable instructions or data structures  
2 stored thereon. Such computer-readable media can be any available media which can be  
3 accessed by a general purpose or special purpose computer. By way of example, and not  
4 limitation, such computer-readable media can comprise RAM, ROM, EEPROM, CD-ROM  
5 or other optical disk storage, magnetic disk storage or other magnetic storage devices, or any  
6 other medium which can be used to carry or store desired program code means in the form  
7 of computer-executable instructions or data structures and which can be accessed by a  
8 general purpose or special purpose computer.

9       When information is transferred or provided over a network or another  
10 communications connection (either hardwired, wireless, or a combination of hardwired or  
11 wireless) to a computer, the computer properly views the connection as a computer-readable  
12 medium. Thus, any such a connection is properly termed a computer-readable medium.  
13 Combinations of the above should also be included within the scope of computer-readable  
14 media. Computer-executable instructions comprise, for example, instructions and data  
15 which cause a general purpose computer, special purpose computer, or special purpose  
16 processing device to perform a certain function or group of functions.

17       Figure 1A and the following discussion are intended to provide a brief, general  
18 description of a suitable computing environment in which the invention may be  
19 implemented. Although not required, the invention will be described in the general context  
20 of computer-executable instructions, such as program modules, being executed by  
21 computers in network environments. Generally, program modules include routines,  
22 programs, objects, components, data structures, etc. that perform particular tasks or  
23 implement particular abstract data types. Computer-executable instructions, associated data  
24 structures, and program modules represent examples of the program code means for

1 executing steps of the methods disclosed herein. The particular sequence of such executable  
2 instructions or associated data structures represent examples of corresponding acts for  
3 implementing the functions described in such steps.

4 Those skilled in the art will appreciate that the invention may be practiced in  
5 network computing environments with many types of computer system configurations,  
6 including personal computers, hand-held devices, multi-processor systems, microprocessor-  
7 based or programmable consumer electronics, network PCs, minicomputers, mainframe  
8 computers, and the like. The invention may also be practiced in distributed computing  
9 environments where tasks are performed by local and remote processing devices that are  
10 linked (either by hardwired links, wireless links, or by a combination of hardwired or  
11 wireless links) through a communications network. In a distributed computing environment,  
12 program modules may be located in both local and remote memory storage devices.

13 With reference to Figure 1A, an exemplary system for implementing the invention  
14 includes a general purpose computing device in the form of a conventional computer 20,  
15 including a processing unit 21, a system memory 22, and a system bus 23 that couples  
16 various system components including the system memory 22 to the processing unit 21. The  
17 system bus 23 may be any of several types of bus structures including a memory bus or  
18 memory controller, a peripheral bus, and a local bus using any of a variety of bus  
19 architectures. The system memory includes read only memory (ROM) 24 and random  
20 access memory (RAM) 25. A basic input/output system (BIOS) 26, containing the basic  
21 routines that help transfer information between elements within the computer 20, such as  
22 during start-up, may be stored in ROM 24.

23 The computer 20 may also include a magnetic hard disk drive 27 for reading from  
24 and writing to a magnetic hard disk 39, a magnetic disk drive 28 for reading from or writing

1 to a removable magnetic disk 29, and an optical disk drive 30 for reading from or writing to  
2 removable optical disk 31 such as a CD-ROM or other optical media. The magnetic hard  
3 disk drive 27, magnetic disk drive 28, and optical disk drive 30 are connected to the system  
4 bus 23 by a hard disk drive interface 32, a magnetic disk drive-interface 33, and an optical  
5 drive interface 34, respectively. The drives and their associated computer-readable media  
6 provide nonvolatile storage of computer-executable instructions, data structures, program  
7 modules and other data for the computer 20. Although the exemplary environment  
8 described herein employs a magnetic hard disk 39, a removable magnetic disk 29 and a  
9 removable optical disk 31, other types of computer readable media for storing data can be  
10 used, including magnetic cassettes, flash memory cards, digital video disks, Bernoulli  
11 cartridges, RAMs, ROMs, and the like.

12 Program code means comprising one or more program modules may be stored on the  
13 hard disk 39, magnetic disk 29, optical disk 31, ROM 24 or RAM 25, including an operating  
14 system 35, one or more application programs 36, other program modules 37, and program  
15 data 38. A user may enter commands and information into the computer 20 through  
16 keyboard 40, pointing device 42, or other input devices (not shown), such as a microphone,  
17 joy stick, game pad, satellite dish, scanner, or the like. These and other input devices are  
18 often connected to the processing unit 21 through a serial port interface 46 coupled to  
19 system bus 23. Alternatively, the input devices may be connected by other interfaces, such  
20 as a parallel port, a game port or a universal serial bus (USB). An LCD device 47 is also  
21 connected to system bus 23 via an interface, such as video adapter 48. In addition to the  
22 LCD device, personal computers typically include other peripheral output devices (not  
23 shown), such as speakers and printers.

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1 The computer 20 may operate in a networked environment using logical connections  
2 to one or more remote computers, such as remote computers 49a and 49b. Remote  
3 computers 49a and 49b may each be another personal computer, a server, a router, a network  
4 PC, a peer device or other common network node, and typically includes many or all of the  
5 elements described above relative to the computer 20, although only memory storage  
6 devices 50a and 50b and their associated application programs 36a and 36b have been  
7 illustrated in Figure 1A. The logical connections depicted in Figure 1A include a local area  
8 network (LAN) 51 and a wide area network (WAN) 52 that are presented here by way of  
9 example and not limitation. Such networking environments are commonplace in office-  
10 wide or enterprise-wide computer networks, intranets and the Internet.

11 When used in a LAN networking environment, the computer 20 is connected to the  
12 local network 51 through a network interface or adapter 53. When used in a WAN  
13 networking environment, the computer 20 may include a modem 54, a wireless link, or other  
14 means for establishing communications over the wide area network 52, such as the Internet.  
15 The modem 54, which may be internal or external, is connected to the system bus 23 via the  
16 serial port interface 46. In a networked environment, program modules depicted relative to  
17 the computer 20, or portions thereof, may be stored in the remote memory storage device. It  
18 will be appreciated that the network connections shown are exemplary and other means of  
19 establishing communications over wide area network 52 may be used.

20 As explained above, the present invention may be practiced in computing  
21 environments that include many types of computer system configurations, such as personal  
22 computers, hand-held devices, multi-processor systems, microprocessor-based or  
23 programmable consumer electronics, network PCs, minicomputers, mainframe computers,  
24 and the like. One such exemplary computer system configuration is illustrated in Figure 1B

1 as portable computer 60, which includes magnetic disk drive 28, optical disk drive 30 and  
2 corresponding removable optical disk 31, keyboard 40, monitor 47, pointing device 62 and  
3 housing 64. Computer 60 may have many of the same components as those depicted in  
4 Figure 1B.

5 Portable personal computers, such as portable computer 60, tend to use flat panel  
6 display devices for displaying image data, as illustrated in Figure 1B by monitor 47. One  
7 example of a flat panel display device is a liquid crystal display (LCD). Flat panel display  
8 devices tend to be small and lightweight as compared to other display devices, such as  
9 cathode ray tube (CRT) displays. In addition, flat panel display devices tend to consume  
10 less power than comparable sized CRT displays making them better suited for battery  
11 powered applications. Thus, flat panel display devices are becoming ever more popular. As  
12 their quality continues to increase and their cost continues to decrease, flat panel displays are  
13 also beginning to replace CRT displays in desktop applications.

14 Figures 2A and 2B illustrate physical characteristics of an exemplary LCD display  
15 device. The portion of LCD 70 depicted in Figure 2A includes a plurality of rows R1-R16  
16 and a plurality of columns C1-C16. Color LCDs utilize multiple distinctly addressable  
17 elements and sub-elements, herein referred to as pixels and pixel sub-components,  
18 respectively. Figure 2B, which illustrates in greater detail the upper left hand portion of  
19 LCD 70, demonstrates the relationship between the pixels and pixel sub-components.

20 Each pixel includes three pixel sub-components, illustrated, respectively, as red (R)  
21 sub-component 72, green (G) sub-component 74 and blue (B) sub-component 76. The pixel  
22 sub-components are non-square and are arranged on LCD 70 to form vertical stripes of  
23 same-colored pixel sub-components. The RGB stripes normally run the entire width or  
24 height of the display in one direction. Common LCD display devices currently used with

1 most portable computers are wider than they are tall, and tend to have RGB stripes running  
2 in the vertical direction, as illustrated by LCD 70. Examples of such devices that are wider  
3 than they are tall have column-to-row ratios such as 640 x 480, 800 x 600, or 1024 x 768.  
4 LCD display devices are also manufactured with pixel sub-components arranged in other  
5 patterns, including horizontal stripes of same-colored pixel sub-components, zigzag patterns  
6 or delta patterns. Moreover, some LCD display devices have pixels with a plurality of pixel  
7 sub-components other than three pixel sub-components. The present invention can be used  
8 with any such LCD display device or flat panel display device so long as the pixels of the  
9 display device have separately controllable pixel sub-components.

10 A set of RGB pixel sub-components constitutes a pixel. Thus, as used herein, the  
11 term "pixel sub-component" refers to one of the plurality of separately controllable elements  
12 that are included in a pixel. Referring to Figure 2B, the set of pixel sub-components 72, 74,  
13 and 76 forms a single pixel. In other words, the intersection of a row and column, such as  
14 the intersection of row R2 and column C1, represents one pixel, namely (R2, C1).  
15 Moreover, each pixel sub-component 72, 74 and 76 is one-third, or approximately one-third,  
16 the width of a pixel while being equal, or approximately equal, in height to the height of a  
17 pixel. Thus, the three pixel sub-components 72, 74 and 76 combine to form a single  
18 substantially square pixel.

## 19 20 **II. Filter Selection, Properties, and Use**

21 The image rendering processes of the invention result in spatially different sets of  
22 one or more samples of image data being mapped to individual, separately controllable pixel  
23 sub-components of pixels included in an LCD display device or another type of display  
24 device. At least some of the samples are "displaced" from the center of the full pixel. For

1 example, a typical LCD display device has full pixels centered about the green pixel sub-  
2 component. According to the invention, the set of samples mapped to the red pixel sub-  
3 component is displaced from the point in the image data that corresponds to the center of the  
4 full pixel.

5 Figure 3 is a block diagram illustrating a method in which a continuous, three-  
6 channel signal representing image data is processed to generate a displayed image having a  
7 desired tradeoff between luminance accuracy and color accuracy. Image data 200 can be a  
8 continuous three-channel signal having components 202, 204, and 206 representing red,  
9 green, and blue components, respectively, of the image. Alternatively, image data 200 can  
10 be sampled image data that is sampled at a rate much higher than the pixel Nyquist rate of  
11 the display (e.g., 20 times the pixel Nyquist rate).

12 The image data processing and image rendering processes in which the filtering  
13 techniques of the invention can be used can include scaling and hinting operations. Thus,  
14 image data 200 can be data that has been scaled and/or hinted. The scaling operations are  
15 useful for preparing the image data to be oversampled in combination with the linear  
16 filtering operations of the invention. Further information relating to exemplary scaling  
17 operations is found in U.S. Patent Application Serial No. 09/168,013, filed October 7, 1998,  
18 entitled "Methods and Apparatus for Resolving Edges within a Display Pixel," which is  
19 incorporated herein by reference.

20 The hinting operations can be used to adjust the position and size of images, such as  
21 text, in accordance with the particular display characteristics of the display device. Hinting  
22 can also be performed to align image boundaries, such as text character stems, with selected  
23 boundaries between pixel sub-components of particular colors to optimize contrast and  
24 enhance readability. Further information relating to exemplary sampling operations is found



1 in U.S. Patent Application Serial No. 09/168,015, entitled "Methods and Apparatus for  
2 Performing Grid Fitting and Hinting Operations" filed October 7, 1998, which is  
3 incorporated herein by reference.

4 Image data 200 is passed through low-pass filters 208 as shown in Figure 3. It is  
5 well known that displayed image can represent fine details only up to a certain limit,  
6 specifically, sine waves up to a frequency of one-half cycle per pixel width. Thus, in order  
7 to eliminate anti-aliasing effects, conventional rendering processes pass the image data  
8 signal through low-pass filters that eliminate frequencies higher than the Nyquist frequency.  
9 The Nyquist frequency is defined as having a value of one-half cycle per pixel width.  
10 According to the invention, as explained in further detailed below, it has been empirically  
11 found that the aliasing effects do not become significant until frequencies close to one cycle  
12 per pixel are experienced. Thus, low-pass filters 208 can be selected to have a cutoff  
13 frequency between a value of one-half cycles per pixel and a value approaching one cycle  
14 per pixel. For example, a cutoff frequency in the range of about 0.6 to about 0.9, or more  
15 preferably, about 0.67 cycles per pixel can provide suitable anti-aliasing functionality, while  
16 improving the spatial resolution that would otherwise be obtained from using a cutoff  
17 frequency one-half cycle per pixel.

18 Low-pass filters 208 operate to obtain samples of the image data that are mapped to  
19 individual pixels sub-components in scan conversion module 214 to create a bitmap  
20 representation 216 or another data structure that indicates luminous intensity values to be  
21 applied to the individual pixel sub-components to generate the displayed image. The  
22 operation of the low-pass filters can be expressed mathematically as linear filtering followed  
23 by displaced sampling at the locations of the pixel sub-components. As is known in the art,  
24 filtering followed by sampling can be combined into one step, where the filters are only

1 applied to regions of the image that result in samples at the desired sampling locations. As  
2 used herein, low-pass filters 208 are a combined filtering and displaced sampling operation.

3 The linear filtering operations disclosed herein relate to the scan conversion of image  
4 data that has been scaled and optionally hinted. General principles of scan conversion  
5 operations that can be adapted for use with the sampling filters and the linear filtering  
6 operations of the invention are disclosed in U.S. Patent Application Serial No. 09/168,014,  
7 filed October 7, 1998, entitled "Methods and Apparatus for Performing Image Rendering  
8 and Rasterization Operations," which is incorporated herein by reference.

9 Low-pass filters 208 are selected in order to obtain a desired degree of color  
10 accuracy while maintaining a desired degree of luminance accuracy, which is perceived as  
11 sharpness or spatial resolution. As will be further described hereinafter, there is an inherent  
12 tradeoff between enhancing luminance accuracy and enhancing color accuracy on LCD  
13 displays, while mapping samples to individual pixel sub-component rather than to full  
14 pixels.

15 Figure 4 illustrates one example of filtering followed by displaced sampling of image  
16 data. Although the generalized example of filtering the image data according to the  
17 invention is described below in referenced Figure 5, the filtering in Figure 4 is presented to  
18 illustrate the concept of filtering followed by displaced sampling. Image data 200, which is  
19 the three-channel, continuous signal having red, green, and blue components 202, 204, and  
20 206, has been passed through a low-pass filter as described above in reference to Figure 3.  
21 Filters 220a, having in this example a width corresponding to three pixel sub-components,  
22 are applied to channel 202, which represents the red component of the image. Because the  
23 sampled data obtained by filter 220a is applied to a single pixel sub-component, the sampled  
24 data, which is shown at 230a, can be referred to as a single sample. Thus, the effective

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1 sampling rate according to this embodiment of the invention is one sample per pixel sub-  
2 component or three samples per full pixel.

3 Sample 230a is subjected to a gamma correction operation 240, and is mapped to red  
4 pixel sub-component 250a as shown in Figure 4. Thus, the sample mapped to red pixel sub-  
5 component 250a is displaced by 1/3 of a pixel from the center of the full pixel 260, which  
6 includes red pixel sub-component 250a, green pixel sub-component 250b, and blue pixel  
7 sub-component 250c. Further details relating to gamma correction operations for use with  
8 the filtering operations of the invention are found in U.S. Patent Application Serial No.  
9 09/364,365, entitled "Methods, Apparatus and Data Structures for Enhancing the Resolution  
10 of Images to be Rendered on Patterned Display Devices," which has been incorporated  
11 herein by reference.

12 Similarly, filter 220b is applied to channel 204 representing the green component of  
13 the image to obtain a sample represented by element 230b of Figure 4. Likewise, filter 220c  
14 is applied to channel 206 representing the blue component of the image to generate a  
15 samples depicted as element 230c of Figure 4. Samples 230b and 230c are mapped to green  
16 pixels of component 250b and blue pixels sub-component 250c, respectively.

17 The foregoing sampling and filtering operation described in referenced Figure 4  
18 yields a displayed image that has minimal color distortions and reasonable spatial resolution.  
19 In order to obtain greater spatial resolution, embodiments of the present invention use a set  
20 of sampling filters that have been optimized or otherwise selected to establish a desired  
21 tradeoff between color accuracy and spatial resolution.

22 Prior to discussing the specific details of the generalized set of filters in Figure 6, a  
23 discussion of a mathematical foundation for selecting the filters will be presented. It should  
24 be understood that the following discussion of the mathematical foundation for selecting

1 optimized filters represents only one example of the techniques for calculating the values of  
2 the filters. Those skilled in the art, upon learning of the disclosure made herein, may  
3 recognize other computational techniques and color/luminance models that can be applied to  
4 the problem of selecting filters, and the invention extends to processing image data using  
5 filters that have been selected according to such techniques.

6 Exploiting the higher horizontal resolution of a LCD pixel sub-component array can  
7 be expressed as an optimization problem. The image data defines a desired array of  
8 luminance values having pixel sub-component resolution and color values having full pixel  
9 resolution. Based on the image data, the filters can be chosen according to the invention to  
10 generate pixel sub-component values that yield an image as close as possible to the desired  
11 luminances and colors. To mathematically define the optimization problem, one can  
12 mathematically define an error model that measures the error between the perceived output  
13 of an LCD pixel sub-component array and the desired output, which as stated above, is  
14 defined by the image data. As will be described below, the error model will be used to  
15 construct an optimal filter that strikes a desired balance between luminance and color  
16 accuracy. One example of a presently preferred approach for defining an error metric and  
17 selecting filters that optimize or approximately optimize the error metric is disclosed in U.S.  
18 Provisional Patent Application Serial No. 60/\_\_\_\_\_, which is entitled "Optimal Filtering  
19 for Patterned Displays," filed on the same day as the present application, and incorporated  
20 herein by reference.

21 In order to further illustrate how suitable filters can be selected, the following  
22 example of defining and solving an optimization problem relating to the perception of  
23 luminance and color in a Y,U,V color space is presented. In preparation for identifying the  
24 properties of an optimal filter constructed according to the invention, an error metric is

1 defined, which specifies how close an image displayed on a scanline of pixel sub-  
2 components appears, to the human eye, to a desired array of luminances and colors. While  
3 an LCD device includes pixels with pixel sub-components that are displaced one from  
4 another, the foundation for constructing the error metric can be understood by first  
5 examining how luminances and colors are defined when the pixels are assumed to be made  
6 of three colors [R,G,B] that are co-located.

7 The luminance, Y, of a co-located pixel is defined as

8  
9 
$$Y = 0.3R + 0.6G + 0.1B$$

10  
11 There are two dimensions of color separate from the brightness. One convenient and  
12 conventional way of defining these two color dimensions is

13  
14 
$$U = R - Y = 0.7R - 0.6G - 0.1B$$

15 
$$V = B - Y = -0.3R - 0.6G + 0.9B$$

16  
17 When  $U = V = 0$ , the pixel is monochromatic ( $R=G=B$ ). Expanding on the foregoing  
18 definition of Y, U, and V, for co-located color sources, one can define a reasonable Y, U,  
19 and V for LCD devices, in which the pixel sub-components are displaced one from another.  
20 Regarding the definition of color (U, V) for an LCD, it has been observed that an edge of a  
21 displayed object appears reddish when the red pixel sub-component is brighter than the  
22 green and blue pixel sub-components adjacent to it. Moreover, it is well known that the eye  
23 computes a function termed "center/surround", in that it compares a signal at a location to a  
24 related signal integrated over the region surrounding the location. Based on these

1 observations, a reasonable model for U with respect to LCDs is to compare a red pixel sub-  
2 component to the luminance of the pixel sub-components surrounding it. Figure 5A  
3 graphically represents the technique for computing the value of  $U_i$  to be applied to pixels in  
4 a scanline of pixel sub-components:

$$U_i = -0.1B_{i-1} + 0.7R_i - 0.6G_i$$

5  
6  
7  
8 As shown in Figure 5A, scanline 300 includes pixels  $302i-1$ ,  $302i$ , and  $302i+1$ . The  
9 value  $U_i$  is calculated, according to this color model, based on the value  $R_i$ , along with the  
10 values of  $G_i$  and  $B_{i-1}$ , with the latter being adjacent to the red pixel sub-component, but in a  
11 different pixel. Because the eye perceives color at low resolution, U is considered in this  
12 model only for every third pixel sub-component, centered over the red pixel sub-component.

13 Analogously, an edge of an object displayed on an LCD appears blue when the blue  
14 pixel sub-component is brighter than the pixel sub-components adjacent to it. As shown in  
15 Figure 5B, a value of  $V_i$  to be applied to pixels in a scanline of pixel sub-components can be  
16 calculated:

$$V_i = -0.6G_i + 0.9B_i - 0.3R_{i+1}$$

17  
18  
19  
20 Again, due to the relatively low color resolution perceived by the eye, V is computed  
21 in this color model only for every third pixel sub-component, centered on the blue pixel sub-  
22 component. As shown in Figure 5B, the value of  $V_i$  is calculated in this color model based  
23 on the value  $B_i$ , along with the values of  $G_i$  and  $R_{i+1}$ , with the latter being adjacent to the  
24 blue pixel sub-component, but in a different pixel.

1 Using these definitions of  $U_i$  and  $V_i$ , a color error metric can be defined. The color  
2 error metric expresses how much the color of an image displayed on an LCD scanline  
3 deviates from an ideal color, which is determined by examining the image data. Given an  
4 array of pixel sub-component values designated as  $R_i$ ,  $G_i$ , and  $B_i$ , and desired color values of  
5  $U_i^*$  and  $V_i^*$ , the color error metric, which sums the squared errors of the individual color  
6 errors, is defined as:

$$E_{\text{color}} = \frac{\alpha}{2} \sum_i (U_i - U_i^*)^2 + \frac{\beta}{2} \sum_i (V_i - V_i^*)^2$$

7  
8  
9  
10 where  $\alpha$  and  $\beta$  are parameters, the value of which can be selected as desired to indicate the  
11 relative importance of  $U$ ,  $V$ , and the color components, in general, as will be further describe  
12 below.  
13

14 The rest of the error relates to the luminance error. When an LCD displays a  
15 constant color (e.g., red), only the red pixel sub-components are turned on, while the green  
16 and blue are off. Therefore, at the pixel level, there is an uneven pattern of luminance across  
17 the screen. However, the eye does not perceive a uneven pattern of luminance, but instead  
18 sees a constant brightness of 0.3 across the screen. Thus, a reasonable luminance model  
19 should model this observation, while taking into account the fact that the eye can perceive  
20 sub-pixel luminance edges.

21 One approach for defining the luminance model according to the foregoing  
22 constraints is to compute a luminance value at every pixel sub-component by applying the  
23 standard luminance formula at every triple of pixel sub-components.  $Y_j^*$  is defined as a  
24 desired luminance of the  $j$ th pixel sub-component. For the  $i$ th pixel,  $Y_{3i-2}^*$  is the desired

1 luminance at the red pixel sub-component,  $Y_{3i-1}^*$  is the desired luminance at the green pixel  
2 sub-component, and  $Y_{3i}^*$  is the desired luminance at the blue pixel sub-component. As  
3 graphically depicted in Figure 5C, the values of  $Y_{3i-2}$ ,  $Y_{3i-1}$ , and  $Y_{3i}$ , which represent the  
4 luminance values as perceived by the eye, can be calculated:

$$Y_{3i-2} = 0.1B_{i-1} + 0.3R_i + 0.6 G_i$$

$$Y_{3i-1} = 0.3R_i + 0.6 G_i + 0.1B_i$$

$$Y_{3i} = 0.6G_i + 0.1B_i + 0.3R_{i+1}$$

10 This model for luminance fulfills both constraints. If a constant color is applied to  
11 the scanline, then the luminance is constant across a scanline. However, if there is a sharp  
12 edge in the pixel sub-component values, there will be a corresponding less sharp perceived  
13 edge centered at the same sub-pixel location. Based on the foregoing, the squared error  
14 metric for luminance as perceived by the eye for an image displayed on an LCD scanline is

$$\begin{aligned} E_{\text{luminance}} &= \frac{1}{2} \sum_i (Y_{3i-2} - Y_{3i-2}^*)^2 \\ &+ \frac{1}{2} \sum_i (Y_{3i-1} - Y_{3i-1}^*)^2 \\ &+ \frac{1}{2} \sum_i (Y_{3i} - Y_{3i}^*)^2 \end{aligned}$$

22 The total error metric for an LCD scanline is

$$E_{\text{total}} = E_{\text{luminance}} + E_{\text{color}}$$



1  
2 For every three pixel sub-components there are five constraints, namely, three  
3 luminances and two colors. Thus, the task of displaying an image on an LCD scanline by  
4 mapping samples to individual pixel sub-components is over-constrained. The pixel sub-  
5 component array cannot perfectly display the high-frequency luminance with no color error.  
6 However, the parameters  $\alpha$  and  $\beta$  inside the expression  $E_{\text{color}}$  control the tradeoff between  
7 color accuracy and sharpness. When  $\alpha$  and  $\beta$  are large, color errors are considered more  
8 serious than luminance errors. Conversely, if  $\alpha$  and  $\beta$  are small, then representing the high-  
9 resolution luminance is considered more important than color errors. Thus,  $\alpha$  and  $\beta$  are  
10 parameters that can be adjusted as desired to alter the balance between color accuracy and  
11 luminance accuracy. Depending on the implementation of the invention, the values of  $\alpha$  and  
12  $\beta$  can be set by the manufacturer, or can be selected by a user to adjust the LCD display  
13 device to individual tastes.

14 The total error metric can be used to solve for optimal values of  $R_i$ ,  $G_i$ , and  $B_i$ . The  
15 values of  $Y_j^*$ ,  $U_i^*$ , and  $V_i^*$  can be computed by, for example, examining image data that has  
16 been oversampled by a factor of three to generate point samples corresponding to  $(R_j^*, G_j^*,$   
17  $B_j^*)$ . The simplest case is when the desired image is black and white, which is often the  
18 case for text. For black and white images,  $U_i^* = V_i^* = 0$  for all pixels,  $i$ . The values of  $Y_j^*$   
19 can be calculated using the conventional definition of  $Y$ , namely,

$$Y_j^* = 0.3R_j^* + 0.6G_j^* + 0.1B_j^*.$$

22  
23 Using no filtering to calculate  $Y_j^*$  forces the optimal result with respect to  $Y_j$  to have  
24 as little luminance error as possible, and consequently, to be as sharp as possible.

The optimal pixel sub-component values ( $R_i, G_i, B_i$ ) can be calculated by minimizing the total error metric with respect to each of the pixel sub-component variables or, in other words, setting the partial derivative of the error function to zero with respect to  $R_i$ ,  $G_i$ , and  $B_i$ :

Since the variables  $R_i$ ,  $G_i$ , and  $B_i$  only appear in the error metric quadratically, their derivatives are linear. Accordingly, the equations above can be combined into a linear system:

[illegible]

1 where the matrix  $M$  is constant and pentadiagonal -- it only has non-zero entries on its main  
2 diagonal and the two diagonals immediately next to the main diagonal. The end effects can  
3 be handled by adding two extra pixels  $(R_0, G_0, B_0)$  and  $(R_{N+1}, G_{N+1}, B_{N+1})$ , which are computed  
4 along with the rest of the pixels and then discarded.

5 There are several ways to use the linear system to compute the values of the left-  
6 hand vector in the foregoing linear system. First, the right-hand vector can be computed  
7 using the desired values of  $Y_j^*$ ,  $U_i^*$ , and  $V_i^*$ . The linear system can then be solved for the  
8 left-hand vector using any suitable numerical techniques, one example of which is a banded  
9 matrix solver.

10 Another way of solving the linear system for the left-hand vector is to find a direct  
11 filter than, when applied to the right-hand-side vector, will approximately solve the system.  
12 This technique involves computing the right-hand vector using the desired values of  $Y_j^*$ ,  
13  $U_i^*$ , and  $V_i^*$ , then convolving the right-hand vector with the direct filter. This approach for  
14 approximating the solution is valid based on the observation that the matrix inverse of  $M$   
15 approximately repeats every three rows, except that the three rows are shifted by one pixel.  
16 This repeating pattern represents a direct filter that can be used with the invention to  
17 approximate the filtering that would strike a precise balance between color accuracy and  
18 sharpness.

19 This approximation would be exact for a scanline having an infinite length. The  
20 direct filter can be derived numerically by inverting the matrix  $M$  for a large scanline, then  
21 taking three rows at or near the center of the inverted matrix. In general, larger values of  $\alpha$   
22 and  $\beta$  enable the direct filters to be truncated at fewer digits.

23 A third approach involves combining the computation of the right-hand vector with  
24 the direct filtering to create nine filters that map three-times oversampled image data (i.e.,

1  $R_j^*, G_j^*, B_j^*$ ) directly into pixel sub-component values. The generalized set of nine filters  
2 selected according to this third approach is further described in reference to Figures 6 and 7.

3 A more detailed presentation of mathematical techniques for selecting filters for  
4 processing image data in accordance to the foregoing example can be found in U.S.  
5 Provisional Patent Application Serial No. 60/115,573 and U.S. Provisional Patent  
6 Application Serial No. 60/115,731, which have been incorporated herein by reference.

7 Any of the foregoing computational techniques can be used to generate the filters  
8 that establish or approximately establish the desired tradeoff between color accuracy and  
9 sharpness. It should be understood that the preceding discussion of a mathematical  
10 approach for selecting the filters has been presented for purposes of illustration, and not  
11 limitation. Indeed, the invention extends to image processing and filtering techniques that  
12 utilize filters that conform with the general principles disclosed herein, regardless of the way  
13 in which the filters are selected. In addition to encompassing such techniques for processing  
14 and filtering image data, the invention also extends to processes of selecting the filters using  
15 analytical approaches, such as those disclosed herein.

16 The invention has been described in reference to an LCD display device having  
17 stripes of same-colored pixel sub-components. For LCD devices of this type, the color and  
18 luminance analysis presented herein considers only one dimension, namely, the linear  
19 direction that coincides with the orientation of the scanlines. In other words, the foregoing  
20 model for representing Y, U, and V on the striped LCD display device takes into  
21 consideration only the effects generated by the juxtaposition of pixel sub-components in the  
22 direction parallel to the orientation of the scanlines. Those skilled in the art, upon learning  
23 of the disclosure made herein, will recognize how the model can be defined in two  
24 dimensions, which takes into consideration the position and effect of pixel sub-components

1 both above, below, and to the side of other pixel sub-components. While the one-  
2 dimensional model suitably describes the color perception of striped LCD devices, other  
3 pixel sub-component patterns, such as delta patterns, lend themselves more to a two-  
4 dimensional analysis. In any case, the invention extends to filters that have been selected in  
5 view of an optimization of an error metric or that conform to or approximate such an  
6 optimization, regardless of number of dimensions associated with the color model or other  
7 such details of the model.

8       The foregoing color modeling has been described in reference to R,G,B and Y,U,V  
9 measurements of color in the color space. Modeling the perception of color and luminance  
10 of the image on a display device having separately controllable pixel sub-components can  
11 also be performed with respect to other color dimensions in the color space. Because  
12 rotating colors in the color space is simply a linear operation, the "error metric" is accurately  
13 and appropriately considered to represent a color error and luminance error, regardless of the  
14 color dimensions used in any particular model. Moreover, regardless of the color  
15 dimensions used, the optimization problem is appropriately described in terms of striking a  
16 balance between color accuracy and luminance accuracy.

17       A generalized set of optimized filters is illustrated in Figure 6. The linear filters of  
18 Figure 6 have been generated by, or have properties that conform to, the solution of the  
19 linear system described previously. In Figure 6, signal 300, with channels 302, 304, and  
20 306, are passed through set of filters 310, which includes nine filters, or one filter for each  
21 combination of one channel and one pixel sub-component. Specifically, set of filters 310  
22 includes filters that map channels to pixel sub-components in the following combinations:  
23  $R \rightarrow R$ ,  $R \rightarrow G$ ,  $R \rightarrow B$ ,  $G \rightarrow R$ ,  $G \rightarrow G$ ,  $G \rightarrow B$ ,  $B \rightarrow R$ ,  $B \rightarrow G$ , and  $B \rightarrow B$ .

24       One example of the filter coefficients that have been found to generate or

1 approximately generate a desired balance between color accuracy and luminance accuracy is  
2 presented in Figure 7. There are at least two major differences between the optimal filters of  
3 Figure 7 and conventional anti-aliasing filters. First, although the same-color ( $R \rightarrow R$ ,  $G \rightarrow G$ ,  
4  $B \rightarrow B$ ) filters appear in shape much like conventional anti-aliasing filters, each same-color  
5 filter is centered generally at the location of the corresponding pixel sub-component, rather  
6 than at the center of the full pixel. Conventional anti-aliasing computes the red and blue  
7 pixel sub-component values as if they were coincident with the green pixel sub-component,  
8 and then displays the red and blue components shifted  $1/3$  of a pixel to the left or right. If an  
9 object in an image contains more than one primary color, the shifting of these primaries  
10 using prior techniques can lead to blurring. However, by displacing the anti-aliasing filters  
11 according to the invention, the filters eliminate the blurring, at the expense of slight color  
12 fringing. The second difference is that all input colors are coupled to all pixel sub-  
13 component colors. The coupling is strongest near the pixel Nyquist frequency, which adds  
14 luminance sharpness near edges.

15 As described above, the exemplary optimal filters of Figure 7 can be completely  
16 described as three different linear filters for each of the three pixel sub-components, for a  
17 total of nine linear filters. In order to process image data in preparation for displaying the  
18 image on the display device, each of the three linear filters is applied to the corresponding  
19 color component of the image signal, which has been oversampled by a factor of three or, in  
20 other words, which has three samples for each region of the image data that corresponds to a  
21 full pixel. The invention can also be practiced by sampling the image data by other factors  
22 and by adjusting the filters to correspond to the number of samples. In Figure 7, the x axis  
23 indexes the image data that has been oversampled by a factor of three and the y axis  
24 represents the filter coefficients. It is noted that the nine linear filters of Figure 7 have been

1 vertically displaced one from another on the graph to illustrate the shape of the filters. Thus,  
2 the values of the coefficients are measured from a baseline zero for each of the filters, rather  
3 than from the zero point on the y axis.

4 It is also noted that the optimal filters whose input and output are the same color are  
5 rounded box filters with slight negative lobes, which gives a more rapid roll-off than a  
6 standard box filter. The  $R \rightarrow R$ ,  $G \rightarrow G$ , and  $B \rightarrow B$  filters also have a unity gain DC response.  
7 However, the filters that connect different colors from input to output are non-zero. Their  
8 purpose is to cancel color errors. The different color input/output filters have a zero DC  
9 response according to this embodiment of the invention.

10 While the filters illustrated in Figure 7 have been found to establish a desired balance  
11 between color accuracy and luminance accuracy, the invention also extends to other filters  
12 that are suggested from an analysis of the optimized filters or that approximate the solution  
13 of the equations that yielded the optimized filters of Figure 7. For example, the invention  
14 can be practiced by using any of a family of filters that include unity DC low-pass filters that  
15 connect a color input to the same color pixel sub-component, where the cutoff frequency is  
16 between one-half and one cycle per pixel; and zero gain DC response filters connecting  
17 color inputs to pixel sub-components having other colors.

18 As the image data is processed as disclosed herein, including the filtering operations  
19 in which the image data is sampled and mapped to obtain a desired balance between color  
20 accuracy and luminance accuracy, the image data is prepared for display on the LCD device  
21 or any other display device that has separately controllable pixel sub-components of  
22 different colors. The filtered data represents samples that are mapped to individual pixel  
23 sub-components of the pixels, rather than to the entire pixels. The samples are used to select  
24 the luminous intensity values to be applied to the pixel sub-components. In this way, a

1 bitmap representation of the image or a scanline of an image to be displayed on the display  
2 device can be assembled.

3       The processing and filtering can be done on the fly during the rasterization and  
4 rendering of an image. Alternatively, the processing and filtering can be done for particular  
5 images, such as text characters, that are to be repeatedly included in displayed images. In  
6 this case, text characters can be prepared for display in an optimized manner and stored in a  
7 font glyph cache for later use in a document.

8       The image as displayed on the display device has the desired color accuracy and  
9 luminance accuracy, and also has improved resolution compared to images displayed using  
10 conventional techniques, which map samples to full pixels rather than to individual pixel  
11 sub-components.

12       The present invention may be embodied in other specific forms without departing  
13 from its spirit or essential characteristics. The described embodiments are to be considered  
14 in all respects only as illustrative and not restrictive. The scope of the invention is,  
15 therefore, indicated by the appended claims rather than by the foregoing description. All  
16 changes which come within the meaning and range of equivalency of the claims are to be  
17 embraced within their scope.

18       What is claimed and desired to be secured by United States Letters Patent is:  
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